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## DoN FYDP Improvement Project

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### Meeting Notes 10-18-00 (Mtg With Jim Sones & John Frey )

Greg Cashman, 10/26/2000 - 09:48 AM

10/18/00

FMB-3

Jim Sones (FMB -32), John Frey (FMB 33)

#### I. General Notes

##### Organization of FMB:

FMB – 1	Operations
FMB – 2	Investment & R&D – Includes MILCON, BRAC
FMB – 3	Coordination/Execution – Issues Guidance (not Policy). There is also a section of FMB3 that produces presentations and performs other admin tasks.
FMB – 4	Business Affairs – Includes Civilian Personnel,
Work Cap Fund	
FMB – 5	Policy – Includes formulating budget policy and investigations. Makes rulings also.

John wears two hats. He has FMB comptroller functions in which he assists in distributing Navy funds received at FMB to the next levels – the CNO and the CMC.

John also has N-82 responsibilities and distributes dollars to Navy Programs. From there the budget is distributed to lower level field activities for execution.

There is a fine line between budgeting and execution. If a line of demarcation between the two processes could be drawn it would be the completion of the Presidents Budget. However, this line is not exact because at this time, Congressional committees can still review the budget and suggest additional changes. Also depends on the date that the budget is approved by congress – the Navy frequently operates under a continuing resolution authority when agreement on a budget can not be reached until after the beginning of the fiscal year.

FMB transfers money down to claimants. Example, the Aviation Procurement Navy (APN) appropriation can only be used to purchase aircraft for the Navy. Therefore, there is only one claimant – NAVAIR. FMB receives dollars for this appropriation and moves these dollars with limited execution authority down to NAVAIR. NAVAIR then allocates the money among its different divisions. ('below threshold reprogramming' authority)

FMB 33 responsibilities do not end after they distribute funds to claimants. FMB also keeps tables on the funds. Twice a year FMB produces a "Status of Programs" report which details the amount of funds distributed to each claimant. This report also includes information supplied by the claimants detailing the amount of funds that have been spent and remaining balances. The second iteration of this report is forwarded to Congress for review.

Execution feeds every part of the budget process.

Programming complains about not getting access to execution information, but they do have access to this information but often do not seem interested in utilizing it – according to FMB.

10-"O"-2 is the monthly execution report (?)

Twice a year, DB1414 feeds DB1416 (Status of Programs). A system builds these.

Execution does not occur by PEs.

There is a misconception that budget records are different than execution records. There is a lot of confusion and misconceptions between budgeting and execution.

Consensus that execution information is important to Planning and Programming phases

## **II. Process Improvement Opportunities**

There is currently an effort to get STARS to interface with PBAS to track changes to appropriations or the spread of appropriation between different programs that are made without proper authority. This effort is being performed jointly by the DoN & DFAS. This will also prevent reports from having excessive amounts of footnotes. Will link funds control mechanism in PBAS with what is being reported in STARS.

## **III. Related Systems**

PBAS – Program Budget Accounting System – produces official funds authorization document, this is the only document used to authorize funds expenditure in the DoN. It is a funds distribution system. Has a funds control feature. Contains thresholds. Does not include issues. DFAS Indianapolis runs this system.

EDS – Execution documentation system. This system takes NBTS info and produces document signed by authorized individual. Feeds NBTS info into PBAS. NBTS does not talk to PBAS and this is why EDS is still used – it serves as an intermediary between NBTS and PBAS to prevent the need to enter data into system twice.

STARS – Standard Accounting and Reporting System – gives real time information on execution. Has broken up into different subsystems.

BAS – CNR's (Naval Research) equivalent to EDS. Interfaces with PBAS

### III. Points of Contact

FMB 1	Barbara Lee
FMB 2	John King
FMB 3	Jim, John
FMB 4	Currently no Volunteers
FMB 5	Bob Orin

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