

[home>library >fydp base year>meeting notes](#) • [previous](#) | [folder](#) | [next](#)

DoN FYDP Improvement Project

[New...](#)[New Revision](#)

Go

[Go Back]

Meeting Notes

Reports/White Papers

Progress Reports

Presentations

Instructions

Room Index

Room Security

Tools



news: [daily](#) | [weekly](#)
[advanced search](#)

[chat](#) | [notify](#) | [print](#) |
[tutorial](#) | [help](#)

Meeting Notes 10-19-00 (Mtg with Bill Stringer - RFO)

Greg Cashman, 10/26/2000 - 09:52 AM

10/19/00
P& R - RFO
Bill Stringer

I. General Notes

RFO Serves advisory role from late April to May during the formulation of the draft POM (POM End Game). The field offices review the POM and prepare informal "heartburn" appeals which are evaluated by Bill's office.

The POM is finished in May. Between May and June, Bill's office performs a fiscal scrub of the POM to identify deficiencies, fix shortfalls and forward scrubbed POM to Mr. Dixon. After Mr. Dixon approves the POM it is forwarded for a P&R review.

Marine Corps Program Code (MCPC) used by USMC. Program Element (PE) Codes are not used by USMC, but USMC still completes these fields to enhance communications.

The POM scrub performed by Bill's office is very high level because of time limitations. The Navy feels that it is not necessary for the USMC to do a lengthy review of the POM b/c DoN will be performing its own scrub.

RFO is responsible for submitting the USMC Operations and Maintenance budget. After the budget is finalized, there is a question and answer process, marks are made and reclaims are filed in response to the marks.

Financial Ceiling Tracks – Track budgets and gives activities (I&L, PP&O, AR, HQ staffs that control \$) visibility of budgets –Until recently, it was taking 3 or 4 months to get out ceiling track system.. The USMC did not have its own tracking system so it had to use DoN's which was not always completely compatible with the way the USMC did budgeting. The ceiling tracks became useless because they were so late. The USMC developed an Access database to perform tracking functions. This 'P&R Budget Management Tool' contained POM data elements, budget data elements and ceiling tracks for the field.

In the past, field would submit budget exhibits to HQ but b/c of a lack of time between the time these budget exhibits could be submitted to HQ and the time the USMC budget was due to DoN, the exhibits were never

reviewed and are no longer submitted. HQ establishes ceiling tracks for the field so the budget is now something that happens to the field – this is a problem area. Field provides feedback on ceiling tracks. This is not a formal process, but Bill would like it to be.

In lieu of the field offices submitting yearly exhibits and participating in the budget process, HQ now does a data call every three years and balances field budget data against budget data contained in OP-32 exhibits.

When field receives ceiling tracks, there is an informal process of adjustment as field offices will let HQ know where funds need to be redirected among different priorities. Mr. Stringer wants to formalize the adjustment process and improve two way communication with the field. Use an Access database tool to provide adjustments to field in weekly reports.

OSD budget review – Not as many adjustments occur during this phase with the exception of working capital fund adjustments. However, there is even less time to deal with adjustments as USMC has to finalize budget to get it into NAVCOMPT system and BRS (see Information Systems below)

Generally, the OSD budget review has less impact on the field except in the areas of Policy and Service level billing.

PBDs – Mr. Stringer's office knows of two PBDs that always need to be reviewed. These are the USMC Operations PBD and the Recruiting and advertising PBD.

PBDs other than the two mentioned above pose a problem to USMC. Often, the USMC only becomes aware of PBDs that affect them hours before they are due for internal review by Mr. Dixon. There would be more time to respond to PBDs affecting USMC if these PBDs were identified when they are initially released for fact checking within DoN. USMC has an analyst that works within DoN when this review of draft PBDs takes place. However, DoN analysts don't coordinate with the USMC analyst and are very protective of information.

II. Process Improvement Opportunities

There is a lack of coordination between USMC budget analysts and DoN analysts resulting in delays that prevent the USMC from providing an adequate response to PBDs.

Disconnect between budget, programming and execution in tracking data. Budget and programming data is tracked by PE but execution data is not. This makes it difficult to use execution data from a previous year to assist in preparing more accurate POM and budget for current year. There should be consistent reporting / data elements throughout PPBES such as PEs or MCPCs.

There is an issue with the handoff of budget data to the execution phase. Execution is too reliant on budget shop to determine what the budget should be for field. Execution's influence on budgeting occurs 1.5 years before the fact. There is no formal feedback between the field and RFO. The field should pass requirements to RFO throughout the process.

There is not enough feedback between field and budgeting. Field should tell budget where money should go, not the other way around. Feedback from field needs to be more timely.

Need to do a better job of incorporating PRESBUD adjustments into POM controls. Currently POM development baseline starts with OSD/BES because of timing – PRESBUD is not done until Jan-Feb. POM starts earlier.

PBDs are available via NAVCOMPT web site, but they are also in the IRS system. There may be opportunity here for better systems integration, if it does not already exist.

Very high turnover at USMC FMB analyst position. Analyst is supposed to evaluate PBDs up front. Because USMC is an exceptionally large "BSO," the USMC analyst billet is typically overwhelmed.

III. Related Systems

BRS – Budget Reporting System (OSD System) – tracks PBD action. Spreads PBD by budgeted activity and issue. When this is locked, this is what the budget will look like.

BTS – Budget Tracking System. Homegrown, access database system that feeds budget data into NBTS.

O&M Budget D-Base – (P&R Budget Management Tool) Homegrown Access database that includes ceiling track info, budget info and programming data.

IV. Points of Contact

Jerry Owen – Current Budget analyst that represents USMC in DoN budget process. Responsible for identifying PBDs and other decisions that affect USMC programs.

[previous](#) | [folder](#) | [next](#)

[go to top](#)

[new...](#) | [new revision](#)